RESEARCH ARTICLE SUMMARY

CARBON CYCLE

OCO-2 advances photosynthesis observation from space via solar-induced chlorophyll fluorescence


INTRODUCTION: Reliable estimation of gross primary production (GPP) from landscape to global scales is pivotal to a wide range of ecological research areas, such as carbon-climate feedbacks, and agricultural applications, such as crop yield and drought monitoring. However, measuring GPP at these scales remains a major challenge. Solar-induced chlorophyll fluorescence (SIF) is a signal emitted directly from the core of photosynthetic machinery. SIF integrates complex plant physiological functions in vivo to reflect photosynthetic dynamics in real time. The advent of satellite SIF observation promises a new era in global photosynthesis research. The Orbiting Carbon Observatory-2 (OCO-2) SIF product is a serendipitous but critically complementary by-product of OCO-2’s primary mission target—atmospheric column CO₂ (XCO₂). OCO-2 SIF removes some important roadblocks that prevent wide and in-depth applications of satellite SIF data sets and offers new opportunities for studying the SIF-GPP relationship and vegetation functional gradients at different spatiotemporal scales.

RATIONALE: Compared with earlier satellite missions with SIF capability, the OCO-2 SIF product has substantially improved spatial resolution, data acquisition, and retrieval precision. These improvements allow satellite SIF data to be validated, for the first time, directly against ground and airborne measurements and also used to investigate the SIF-GPP relationship and terrestrial ecosystem functional dynamics with considerably better spatiotemporal credibility.

RESULTS: Coordinated airborne measurements of SIF with the Chlorophyll Fluorescence Imaging Spectrometer (CFIS) were used to validate OCO-2 retrievals. The validation shows close agreement between OCO-2 and CFIS SIF, with a regression slope of 1.02 and R² of 0.71. Landscape gradients in SIF emission, corresponding to differences in vegetation types, were clearly delineated by OCO-2, a capability that was lacking in previous satellite missions. The SIF-GPP relationships at eddy covariance flux sites in the vicinity of OCO-2 orbital tracks were found to be more consistent across biomes than previously suggested. Finally, empirical orthogonal function (EOF) analyses on OCO-2 SIF and available GPP products show highly consistent spatiotemporal correspondence in their leading EOF modes across the globe, suggesting that SIF and GPP are governed by similar dynamics and controlled by similar environmental and biological conditions.

CONCLUSION: OCO-2 represents a major advance in satellite SIF remote sensing. Our analyses suggest that SIF is a powerful proxy for GPP at multiple spatiotemporal scales and that high-quality satellite SIF is of central importance to studying terrestrial ecosystems and the carbon cycle. Although the possibility of a universal SIF-GPP relationship across different biome types cannot be dismissed, in-depth process-based studies are needed to unravel the true nature of covariations between SIF and GPP. Of critical importance in such efforts are the potential coordinated dynamics between the light-use efficiencies of CO₂ assimilation and fluorescence emission in response to changes in climate and vegetation characteristics. Eventual synergistic uses of SIF with atmospheric CO₂ enabled by OCO-2 will lead to more reliable estimates of terrestrial carbon sources and sinks—when, where, why, and how carbon is exchanged between land and atmosphere—as well as a deeper understanding of carbon-climate feedbacks.
Quantifying gross primary production (GPP) remains a major challenge in global carbon cycle research. Spaceborne monitoring of solar-induced chlorophyll fluorescence (SIF), an integrative photosynthetic signal of molecular origin, can assist in terrestrial GPP monitoring. However, the extent to which SIF tracks spatiotemporal variations in GPP remains unresolved. Orbiting Carbon Observatory-2 (OCO-2)'s SIF data acquisition and fine spatial resolution permit direct validation against ground and airborne observations. Empirical orthogonal function analysis shows consistent spatiotemporal correspondence between OCO-2 SIF and GPP globally. A linear SIF-GPP relationship is also obtained at eddy-flux sites covering diverse biomes, setting the stage for future investigations of the robustness of such a relationship across more biomes. Our findings support the central importance of high-quality satellite SIF for studying terrestrial carbon cycle dynamics.

The Orbiting Carbon Observatory-2 (OCO-2) SIF product is also a serendipitous but critical complementary by-product of OCO-2's primary mission target—atmospheric column CO₂. The dynamics of column CO₂ reflect net fluxes of production and consumption processes of both natural and anthropogenic origins. Thus, the serendipitous and primary products together form independent constraints on the CO₂ source and sink distributions from the land and atmospheric perspectives, respectively. Their joint availability will help curb uncertainties in the estimate of regional and global carbon budgets and advance understanding terrestrial biosphere responses to climate change, which will eventually lead to better prediction of carbon-climate feedbacks.

In recent years, progress has been made in applying spaceborne SIF to study large-scale terrestrial ecosystem dynamics, covering a variety of topics such as high-latitude vegetation phenology, tropical carbon cycle seasonality, crop yield monitoring, and detection of impending droughts (16–20). However, it remains unclear to what extent the spatiotemporal variations of SIF and gross primary production (GPP) are related to each other, as well as how and at which scales SIF can be used to predict GPP. Here we will first discuss roadblocks in addressing these critical issues and then show how OCO-2 SIF helps to remove them and how it can be used to shed lights on SIF-GPP relationships at different spatiotemporal scales. In doing so, a variety of potential research opportunities enabled by this distinctive spaceborne SIF data product will also be introduced.

High-resolution studies of vegetation functional gradients with OCO-2 SIF products

Studies of the relationship between vegetation and climate gradients are crucial to understanding biosphere dynamics. It has been difficult to use SIF retrievals from previous satellite missions to investigate heterogeneous landscapes because of their coarse spatial resolutions and limited sampling strategies (Fig. 1A). For example, the native footprint of GOME-2 is 40 km by 40 km (40 km by 80 km before 15 July 2013) (14), whereas GOSAT has a circular footprint of 10 km in diameter, with individual samples sparsely distributed across Earth’s surface (11, 13). This severely masked out fine-scale variations that are key to studying vegetation functional gradients. In contrast, a nominal OCO-2 footprint is 1.3 km by 2.25 km, with eight independent across-track measurements within its 10-km-swath width, yielding ~10² clear-sky SIF observations over land each day (24). This high data-acquisition density allows the use of averaging to effectively minimize random measurement uncertainty and to produce high-precision SIF products at an unprecedented high spatial resolution, even though the narrow swath reduces the extent of global coverage. For regions covered by orbital tracks of OCO-2, its SIF data sets can be used to quantify the heterogeneity of ecosystem structure and function and to detect fine-scale eco-physiological phenomena such as functional

Photosynthesis, one of the most fundamental biological processes on Earth, provides food and oxygen to all higher life forms and regulates the capacity of terrestrial ecosystems to offset anthropogenic CO₂ emissions. The continuing increase of atmospheric CO₂ and its impact on climate are expected to affect photosynthesis in fundamental (2) but uncertain ways (2, 3). This uncertainty constitutes a critical constraint in the projection of future crop production (4) and carbon-climate feedbacks (5). However, we currently possess a limited capability to directly measure photosynthesis at spatial and temporal scales relevant for understanding and predicting future agricultural risks and roles of carbon-climate feedbacks in the Earth system. The approach of observing solar-induced chlorophyll fluorescence (SIF) globally from space is an important step toward alleviating this deficiency.

SIF, an emission from chlorophyll a molecules excited by absorbed photons, is an optical signal emanating from the core of photosynthetic machinery and contains functional information about photosynthesis. The terrestrial SIF emission spectrum spans 660 to 850 nm, with two peaks centered at 685 and 740. Although actively induced fluorescence has been used for decades to probe photosynthesis in vivo at molecular and leaf scales (6–10), passive SIF from a vantage point in space became available only recently (11–15). A major challenge of passive SIF remote sensing is to discern a small SIF emission from a much higher background signal—reflected sunlight. This challenge is overcome by measuring the in-filling of narrow solar Fraunhofer lines using high-resolution spectrometers. With this technique, the confounding effects of surface reflectance as well as atmospheric scattering and absorption are minimized, thereby facilitating the SIF retrieval. The first spaceborne missions possessing the spectral and radiometric sensitivity to enable SIF retrievals include the Greenhouse Gases Observing Satellite (GOSAT), Global Ozone Monitoring Experiment-2 (GOME-2) onboard MetOp-A, and the SCanning Imaging Absorption spectroMeter for Atmospheric CHartographY (SCIAMACHY) onboard Envisat (11–14). None of these instruments were originally designed for measuring SIF, but they nevertheless provided initial data sets that revealed the feasibility of satellite SIF retrievals, albeit at very coarse spatial resolution.
developed and applied, depending on different conditions and how these gradients are related to an offset in planting dates between corn and soybean. For OCO-2 SIF, the cloud as well as over cloud shadows. In the contrast of SIF in an August orbital track along a transect spanning forests, crops, and developed urban areas south of Chicago. Here we find that SIF of croplands far exceeds that of forests, whereas urban green areas emit a very weak signal. This example demonstrates that with its current level of retrieval precision, OCO-2 SIF has the capability to capture real-time photosynthetic activities. Although sharp contrasts in SIF between different vegetation types have been reported with ground-based measurements (25), none of the previous satellite missions were able to resolve such fine-scale functional transitions across ecosystems. As the data records provided by OCO-2 grow, it will be possible to use them in conjunction with column CO2 concentration measurements to investigate how vegetation functional gradients vary in response to changes in environmental conditions and how these gradients are related to spatial variations in the terrestrial carbon sink.

**Coordinated spaceborne and airborne SIF measurements for validation and optimizing retrieval algorithms**

So far, various retrieval algorithms have been developed and applied, depending on different spectrometer specifications. These algorithms can be classified into two broad categories. The first employs physically based approaches to fit narrow spectral windows and is used for spectrometers with very high spectral resolution (e.g., <0.05 nm; GOSAT and OCO-2). The second uses statistical approaches to fit relatively broad spectral windows and is for spectrometers with moderate spectral resolution (e.g., ~0.5 nm; GOME-2). Because there is always a trade-off among spatial, temporal, and spectral resolutions, these two approaches have pros and cons in terms of retrieval robustness and sensitivity to atmospheric scattering, spatial resolution, and revisit time (26). Cross-mission comparisons with different spaceborne instruments have been performed, yet true validation of these retrieval algorithms with independent airborne or ground measurements of SIF has lagged behind the development of these previous spaceborne SIF products.

Toward that goal, the OCO-2 team developed the airborne Chlorophyll Fluorescence Imaging Spectrometer (CFIS). CFIS combines high spectral resolution (<0.1 nm) with a wide spectral coverage (737 to 772 nm) (Fig. 2A), which is optimally suited for SIF retrievals and allows for testing both retrieval strategies mentioned above. Several validation campaigns with CFIS were carried out in 2015 and 2016 across a range of different ecosystems (including crops, grassland, and forests), under-flying the OCO-2 orbital tracks in Illinois, Iowa, Colorado, Nebraska, Minnesota, and California. Figure 2B shows the initial validation flights in 2015, revealing a strong agreement between OCO-2 and CFIS SIF along latitudinal gradients. The spaceborne and airborne measurements were linearly correlated, with a regression slope of 1.02 ($R^2 = 0.71$, fig. S1), indicating that the latitudinal variation of SIF is well characterized by OCO-2 retrievals. Figure 2C shows fine-scale CFIS SIF retrievals over agricultural areas in southwest Minnesota, including a region affected by partial cloud cover. The Fraunhofer line-based SIF retrievals are insensitive to atmospheric scattering, which can be seen, as the partial cloud cover appears not to affect the SIF retrieval. As a comparison, a four-band [red-green-blue (RGB) + near-infrared (NIR)] context camera was used to derive RGB imagery and the normalized difference vegetation index (NDVI) at a much higher spatial resolution than CFIS. The reflectance-based NDVI is expectedly strongly affected by clouds in general, whereas SIF is only reduced in the optically thick parts of the cloud as well as over cloud shadows. In the SIF imagery, strong gradients between corn and soybean plots can be discerned, with soybean being more fluorescent in mid-August 2016, most likely related to an offset in planting dates between these two primary U.S. Midwest crops.

Such coordinated spaceborne and airborne measurements for the purpose of SIF validation have not been carried out for any previous satellite instruments with SIF capabilities. The CFIS validation data set will be an important step toward bridging the scale gaps between ground-based spectrometers—which are now being developed and deployed to examine fine temporal- and spatial-scale functional SIF dynamics—and satellite-based measurements from OCO-2 and follow-on missions such as the European Space Agency Fluorescence
Explorer (FLEX) (27). In fact, the airborne instrument HyPlant has been designed for preparing the launch of FLEX, and a number of campaigns have already been performed since 2012 (28, 29). In the coming years, these HyPlant campaigns will be continued, and potential synchronous flights with OCO-2 will greatly advance the development and optimization of SIF retrieval algorithms.

Using OCO-2 SIF as a shortcut to estimating GPP

A direct linkage between satellite observation and flux tower–based measurements is crucial for using remotely sensed SIF to estimate GPP at larger scales. This has not been possible in the past because previous missions had coarse spatial resolutions, low data-acquisition rates, and high observation uncertainties, which made it difficult to apply spaceborne and ground-based measurements in a synergistic and integrated fashion. For example, the spatial resolutions of GOME-2 and SCIAMACHY (30 km by 60 km) are much larger than a typical eddy covariance (EC) flux tower footprint, whereas GOSAT’s sparse data acquisition severely restricted the possibility of reducing random retrieval errors via sample averaging. These limitations prevented simultaneous uses of spaceborne SIF and ground-based measurements for in-depth analyses of biome-specific responses. In contrast, OCO-2’s fine spatial resolution (1.3 km by 2.25 km), together with high data-acquisition, density alleviates such limitations. Thus, OCO-2 SIF data sets can be integrated with ground-based measurements to investigate the SIF-GPP relationship, at least for regions covered by OCO-2 orbital tracks.

We have identified multiple FLUXNET sites that are located in the direct or close vicinity of OCO-2 orbits (30, 31). These sites span structurally and functionally diverse ecosystems, including crops, temperate deciduous forests, and grasslands. We related OCO-2 SIF to GPP derived from net ecosystem exchange measured with the EC technique (32) at these sites. We found that the SIF-GPP relationship is consistent across distinct vegetation types (Fig. 3A). This finding is different from previous reports that suggested highly variable biome-dependent relationships (13). There may be multiple explanations for the potential divergence in their SIF-GPP relationships. One possibility is the effect of the differences across biomes in plant physiology and canopy structure such as leaf angle orientation, leaf clumping, leaf area index, and chlorophyll profiles (8). Such effect has also been demonstrated through model simulations (33). Yet another possibility is the potential systematic bias in either SIF or GPP products. To investigate this latter possibility, we repeated our analyses using both FLUXCOM (34) and Moderate Resolution Imaging Spectroradiometer (MODIS) GPP products (35, 36) at flux tower–specific pixels during the OCO-2 overpass dates.

Although it is tempting to think about a universal SIF-GPP relationship across biomes (Fig. 3A), the existence of biome-specific relationships cannot be ruled out at present. Just a few EC sites are located in the direct or close vicinity of OCO-2 orbits, and the OCO-2 lifetime is still short, limiting our analyses. Only continued research with more land biomes and growing data records can reveal the true discrepancies or consistencies underlying this relationship and the responsible mechanisms.

The following simple equations, however, show the possibility of variable SIF-GPP relationships among biomes but also point to plausible mechanisms if a universal relationship does exist. Traditionally, the simplest way to model GPP is through the approach of light-use efficiency of CO₂ assimilation (ΦCO₂) (35) via the Monteith theory (38)

\[
GPP = \Phi_{CO2} \cdot I
\]  

Here, \( I \) is the photosynthetically active radiation (PAR) incident upon the canopy, and \( \alpha \) is the canopy absorbance of PAR. This approach has been widely used, particularly in the field of vegetation remote sensing (36). To effectively use this method, measuring \( I \) is not enough; one must also know both \( \Phi_{CO2} \) and \( \alpha \), which are extremely difficult to determine at large scales and can change with environmental conditions and vegetation types. However, the task becomes somewhat easier if SIF is known. For SIF, a similar relationship holds via the Berry equation (16)

\[
SIF = \Phi_{SIF} \cdot I \cdot \beta
\]
Here, $\Phi_F$ is the light-use efficiency of SIF, and $\beta$ is the probability of SIF photons escaping the canopy. Combining Eqs. 1 and 2 leads to

$$GPP = \frac{\Phi_{CO_2}}{\Phi_F} SIF$$

(3)

which relates SIF to GPP.

Equations 1 to 3 may not be the most mechanistic way to describe the relationship between SIF and GPP and its potential variations with a multitude of biotic and abiotic factors across different climates and biomes. A more mechanistic alternative involves describing the process of these energy partitioning between photosystem I and II, canopy structure, stoichiometry and fluorescing properties of these two photosystems, photorespiration, photosynthetic pathways (C3 versus C4), linear and cyclic electron transports, and fluorescence radiative transfer modeling in canopies (8). Although such a complex approach will be important for developing the knowledge base needed to bridge the gap between bottom-up biological modeling and empirical top-down constraints, Eqs. 1 to 3 offer a convenient framework for presenting and evaluating arguments and counterarguments for the SIF-GPP relationship.

An important difference between Eqs. 3 and 1 is that the former involves a ratio of two potentially covarying terms of energy-use efficiency, whereas the latter uses the product of two independent variables. The variability of $\beta$ likely depends on canopy geometry, solar elevation, view angle, and other conditions. $\Phi_{CO_2}$ and $\Phi_F$ are also not constants at the leaf scale (9). $\Phi_{CO_2}$ varies with photosynthetic capacities and environmental conditions (such as light, atmospheric $CO_2$, and humidity) in a way that is typically predicted with the Farquhar-von Caemmerer-Berry model of photosynthesis (39). $\Phi_F$ changes with environmental conditions that affect photochemical and nonphotochemical quenching (8). Thus, it seems natural to assume that the slope of the GPP-SIF relationship will vary across biomes. A universal SIF-GPP linear relationship would at least require interbiome variations in $\Phi_{CO_2}$ and $\Phi_F$ to cancel each other, a scenario that seems difficult to realize. Clearly, more in-depth process-based studies are needed to understand the nature of the SIF-GPP relationship. A particular emphasis should be placed on the covariation between $\Phi_{CO_2}$ and $\Phi_F$ at different spatiotemporal scales, which will be key to using SIF as a shortcut to estimating GPP at large scales (40).

**Consistent spatiotemporal variations in SIF and GPP revealed by OCO-2**

So far we have focused on the characteristics of OCO-2 SIF and its relationship with GPP at fine scales. We now address the question of to what extent SIF can be used to predict the spatiotemporal dynamics of GPP. To fully address this issue, both SIF and GPP products will have to be improved considerably. Nevertheless, this does not prevent us from using data already available to gain initial insights. We therefore employed the empirical orthogonal function (EOF) method to decompose the complex spatial and temporal variability of SIF and GPP into various orthogonal components. This analysis allows us to identify common patterns and discrepancies among noisy data sets that are usually characterized by nonlinearity and high dimensionality. We performed an EOF analysis on monthly data sets of OCO-2 SIF as well as FLUXCOM and MODIS GPP products and investigated their temporal and spatial coherences for each orthogonal component. Figure 4A shows the four leading EOF modes for all variables, ordered by how much variance in the data set each mode explains (fig. S2). For the first leading mode, all three variables closely match each other, except in tropical South America, explaining 63, 74, and 66% of the total variance in OCO-2 SIF, FLUXCOM GPP, and MODIS GPP, respectively. The corresponding time series depicts the seasonal dynamics, with all variables in good agreement with each other (Fig. 4B). The Pearson correlation coefficients between OCO-2 SIF and FLUXCOM GPP, quantifying their spatial similarity, are consistently high across all biomes in this first mode (Fig. 4C). Compared with the FLUXCOM product, MODIS GPP tends to have a lower correlation with OCO-2 SIF, especially in the tropical evergreen broadleaf forests. From the second mode onward, interesting discrepancies emerge between MODIS GPP and the other two data sets in different regions of the world. In South America, for example, the second mode of OCO-2 SIF and FLUXCOM GPP identifies a northeast-to-southwest stretch (the green positive phase), which is absent in MODIS GPP data. Similar contrast exists in the northern edge of tropical Africa. In the third and fourth modes, MODIS GPP shows a profound dipole in the central Amazon, which is not present in the other two data sets. These discrepancies have led to a lower correlation between OCO-2 SIF and MODIS GPP than with the FLUXCOM product (Fig. 4C), especially for the grassland and savanna systems. The time series of the second to fourth components also display a closer similarity between OCO-2 SIF and FLUXCOM GPP than with MODIS
GPP (Fig. 4B). The highly consistent correspondences, both in space and time, in the leading EOF modes of OCO-2 SIF and FLUXCOM GPP provide robust proof that SIF and GPP are governed by similar dynamics and controlled by similar environmental and biological conditions. In addition, these findings emphasize that SIF provides additional information compared with greenness-based productivity estimates, as the spatiotemporal variations are markedly different from the MODIS GPP product.

**Synergistic uses of OCO-2 SIF with other remote sensing products**

Past satellite observations (e.g., NDVI) have been largely based on surface spectral reflectance, which contains a mixture of information about green vegetation elements (e.g., contents of different pigments, leaf amount, and spatial arrangement), nongreen biomass elements, and underlying soil (41). They represent the best structural descriptions of the land surface at large scales and can be used synergistically with the SIF functional quantification of vegetation to understand and predict terrestrial ecosystem dynamics under changing environmental conditions. For example, phenology, which is a key driver of terrestrial ecosystem dynamics under changing environmental conditions. For example, for the spatial resemblance of OCO-2 SIF, FLUXCOM GPP, and MODIS GPP for the first four leading modes in descending order. (A) Spatial maps of EOFs from monthly data sets. (B) Corresponding time series of each EOF. (C) Quantification of the spatial resemblance of OCO-2 SIF with FLUXCOM (green) and MODIS GPP (white) across biomes, denoted as NF (needleleaf forests), EBF (evergreen broadleaf forests), DBF (deciduous broadleaf forests), SHR (shrublands), SAV (savannas), GRA (grasslands), and CRO (croplands). The land cover data are from the International Satellite Land Surface Climatology Project Initiative II biome classification scheme, following (42).

Another potential application is to integrate OCO-2 SIF with CO₂ concentration measurements to disentangle the net fluxes derived from atmospheric CO₂ data into process-specific and, thus, information-rich gross fluxes—namely GPP, ecosystem respiration, and anthropogenic components. Additionally, SIF could also be used as a prior constraint on GPP for atmospheric transport inversion models or in data assimilation frameworks for such purposes (43).

With growing data records, OCO-2 SIF will provide a robust benchmark for upcoming missions such as OCO-3, FLEX, the TROPOSpheric Monitoring Instrument (TROPOMI) (26), and the Geostationary Carbon Cycle Observatory (GeoCARB) (44). In addition, tracking the sub-daily variation of SIF will be possible by combining OCO-2 products with products from these upcoming satellite missions. These endeavors will lead to valuable data sets that can be used in a variety of ecological research, prediction, and management activities. Despite the lack of contiguous global coverage, OCO-2’s measurements can be considered a cornerstone toward more optimal SIF data sets that can fulfill even greater requirements in terms of accuracy, spatial coverage, and resolution and can thus unleash the power of SIF in revealing global photosynthetic activities.

**Summary**

OCO-2 provides a high-resolution SIF data set that allows direct validation against ground-based and airborne SIF observations. With this data set, it is possible to resolve diverse heterogeneous landscape patterns in SIF emission. These capabilities have been challenging for previous satellite missions that produced SIF retrievals. The EOF analyses of the validated OCO-2 SIF data set and GPP products revealed that the spatiotemporal variations of SIF and GPP are highly consistent, suggesting that SIF is a powerful proxy for GPP. We also found that the relationship between SIF and GPP, when the latter is directly obtained at eddy flux sites in the vicinity of OCO-2 orbits, is more consistent across biomes than
was suggested by earlier studies. However, future investigations with expanded data sets will need to test the robustness of this finding across all land biomes. Despite this uncertainty, it is clear that high-quality satellite SIF is of central importance to studying terrestrial ecosystem dynamics and carbon cycle. Eventual synergistic uses of SIF with atmospheric CO₂ enabled by OCO-2 will lead to more reliable estimates of terrestrial carbon sources and sinks and a deeper understanding of carbon-climate feedbacks.

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SUPPLEMENTARY MATERIALS

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